



IPW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Giles et al.

U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

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Art Unit: 3642

Examiner: N. Wells

Atty Docket: DEH058

For: Mass Spectrometer

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment/Response                                | <input type="checkbox"/> Issue Fee Transmittal       |
| <input checked="" type="checkbox"/> Status Inquiry Letter                  | <input type="checkbox"/> Check in the Amount of \$   |
| <input checked="" type="checkbox"/> Copy of Documents filed March 31, 2005 | <input type="checkbox"/> Assignment                  |
| <input type="checkbox"/>   | <input type="checkbox"/> Associate Power of Attorney |
- ☐ Please charge **Deposit Account Number 04-1075** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

Respectfully submitted,

Everett G. Diederiks, Jr.  
Attorney for Applicant  
Registration Number: 33,323

Date January 6, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Giles et al.

U.S. Patent No. 6,791,078

Serial Number 10/603,824

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) Art Unit: 3642

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) Examiner: N. Wells

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) Atty Docket: DEH058

For: Mass Spectrometer

STATUS INQUIRY

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

A Request For Correction of Inventorship, along with supporting documentation, was filed in the above-identified U.S. patent application on March 31, 2005 (see copies attached). To date, the undersigned has not received any indication from the Patent Office that the change of inventorship has been accepted. Therefore, this communication simply serves as a status inquiry into the request for correction of inventorship. Given the significant period of time that has elapsed since the filing of the petition, it is requested that Patent Office personnel contact the undersigned at the number provided below regarding the status of this matter.

Respectfully submitted,

Everett G. Diederiks, Jr.  
Registration No. 33,323

Date: January 6, 2006  
**DIEDERIKS & WHITE LAW, PLC**  
12471 Dillingham Square, #301  
Woodbridge, VA 22192  
Tel: (703) 583-8300  
Fax: (703) 583-8301



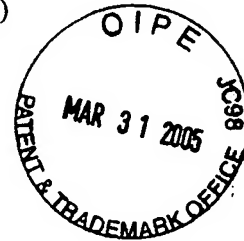
Serial No. **10/603,824** Filed: **June 26, 2003** Patent No. **6,791,078** Docket No. **DEH058**  
Inventors: **Giles et al.**  
Deliver to: ☐ Mail Room ☐ Art Unit ☐ Other  
Special Instructions:

The PTO stamp hereon acknowledged receipt of:

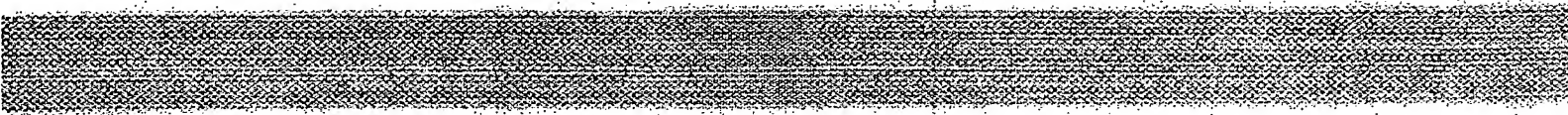
- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Check for <b>\$170.00</b>                              | <input checked="" type="checkbox"/> Substitute Declaration                  |
| <input checked="" type="checkbox"/> Request to Correct Inventorship                        | <input checked="" type="checkbox"/> Consent of Assignee                     |
| <input checked="" type="checkbox"/> Statement from Missing Inventor                        | <input checked="" type="checkbox"/> Statements from Current Named Inventors |
| <input checked="" type="checkbox"/> Assignment with Transmittal                            | <input type="checkbox"/> Terminal Disclaimer                                |
| <input type="checkbox"/> Extension of Time Petition  | <input type="checkbox"/> Notice of Appeal                                   |
| <input type="checkbox"/> Information Disclosure Statement _____ refs.                      | <input type="checkbox"/> Appeal Brief (triplicate)                          |
| <input type="checkbox"/> Drawings: Sheets  | <input type="checkbox"/> Reply Brief (triplicate)                           |
| <input type="checkbox"/> <input type="checkbox"/> formal <input type="checkbox"/> informal |   |
| <input type="checkbox"/> Small Entity Statement  |   |

Communication to:

☐ Other



Filed by: **dd/ NSW**





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Giles et al.

U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

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) Art Unit: 3642  
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) Examiner: N. Wells  
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) Atty Docket: DEH058

For: Mass Spectrometer

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450


Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Request for Correction of Inventorship  | <input checked="" type="checkbox"/> Fee Under § 1.20(b)                    |
| <input checked="" type="checkbox"/> Statement from Missing Inventor         | <input checked="" type="checkbox"/> Check in the Amount of <u>\$170.00</u> |
| <input checked="" type="checkbox"/> Substitute Declaration                  | <input checked="" type="checkbox"/> Consent of Assignee                    |
| <input checked="" type="checkbox"/> Statements from Current Named Inventors | <input checked="" type="checkbox"/> Assignment with Transmittal            |

- ☒ Please charge Deposit Account Number 04-1075 for any deficiency or surplus in connection with this communication.  
A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

Respectfully submitted,

  
Everett G. Diederiks, Jr.  
Attorney for Applicant  
Registration Number: 33,323

Date March 31, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of Giles et al.****U.S. Patent No. 6,791,078****Serial Number 10/603,824****Filed June 26, 2003**

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) **Art Unit: 3642**

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) **Examiner: N. Wells**

)

) **Atty Docket: DEH058****For: Mass Spectrometer****PETITION TO CORRECT INVENTORSHIP**

**Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450**

**Sir:**

The above-identified U.S. patent application was filed on June 26, 2003 with a signed Declaration. It has now come to light that the inventive entity set forth in that Declaration is in error, with the error arising without any deceptive intent on the part of an individual who was incorrectly not named as an inventor. Therefore, it is requested that the inventorship in this application be amended to add Mr. Robert Harold Bateman to the original inventors listed. To this end, this paper is to be considered a request to correct the inventorship to list the following inventors:

**Kevin Giles****John Brian Hoyes****Steve Pringle****Jason Lee Wildgoose****Robert Harold Batement**

Pursuant to 35 U.S.C. §256 and 37 C.F.R. 1.324, a statement from Mr. Bateman is enclosed which clearly recites that the error in inventorship occurred without deceptive intent on his part. Also provided is a statement by the original

**PETITION TO CORRECT INVENTORSHIP**

**Serial No. 10/603,824**

**Page 2**

named inventors indicating that they have no disagreement with respect to the requested inventorship change. Since an Assignment to Micromass UK Limited has already been submitted in this application, also enclosed herewith is a Written Consent of the Assignee as required. Finally, enclosed is a processing fee set forth in §1.20(b).

Submitted concurrently herewith is also an Assignment document executed by Mr. Bateman in connection with his inventive subject matter.

If there are any questions or outstanding matters raised in connection with this request for correction of inventorship, please contact the undersigned at the number provided below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Everett G. Diederiks, Jr.", written in a cursive style.

Everett G. Diederiks, Jr.  
Attorney for Applicant  
Registration No. 33,323

Date: March 31, 2005  
**DIEDERIKS & WHITE LAW, PLC**  
12471 Dillingham Square, #301  
Woodbridge, VA 22192  
Tel: (703) 583-8300  
Fax: (703) 583-8301

 COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Giles et al.

Now U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

For: Mass Spectrometer

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)  
) Art Unit: 3642  
)  
) Examiner: N. Wells  
)  
) Atty Docket: DEH058

STATEMENT FROM INVENTOR

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

My name is Robert Harold Bateman and this document is being provided in connection with adding myself as a co-inventor in connection with the invention covered by the above-identified U.S. patent. To this end, failure to identify myself as an inventor previously was inadvertent such that this inventorship error occurred without deceptive intent.

Respectfully submitted,



Robert Harold Bateman

Date: 14/02/05

 **COPY**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of Giles et al.**

**Now U.S. Patent No. 6,791,078**

**Serial Number 10/603,824**

**Filed June 26, 2003**

**For: Mass Spectrometer**

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)  
) **Art Unit: 3642**  
)  
) **Examiner: N. Wells**  
)  
) **Atty Docket: DEH058**

**STATEMENT FROM CURRENT NAMED INVENTOR**

**Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450**

**Sir:**

As a co-inventor of the subject matter covered by the above-identified U.S. patent, I, Kevin Giles, agree to the change of inventorship to add Mr. Robert Harold Bateman who was inadvertently not previously listed. By signing below, I agree to the change of inventorship and have no disagreement in regard to the requested change.

Respectfully submitted,

*Kevin Giles*

Kevin Giles

Date:

14/02/05





**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Giles et al.

Now U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

For: Mass Spectrometer

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)  
) Art Unit: 3642  
)  
) Examiner: N. Wells  
)  
) Atty Docket: DEH058

**STATEMENT FROM CURRENT NAMED INVENTOR**

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

As a co-inventor of the subject matter covered by the above-identified U.S. patent, I, John Brian Hoyes, agree to the change of inventorship to add Mr. Robert Harold Bateman who was inadvertently not previously listed. By signing below, I agree to the change of inventorship and have no disagreement in regard to the requested change.

Respectfully submitted,

X  X  
John Brian Hoyes

Date:

X 4<sup>th</sup> March 2005 X

 **COPY**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Giles et al.

Now U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

For: Mass Spectrometer

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) Art Unit: 3642  
)  
) Examiner: N. Wells  
)  
) Atty Docket: DEH058

**STATEMENT FROM CURRENT NAMED INVENTOR**

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

As a co-inventor of the subject matter covered by the above-identified U.S. patent, I, Steve Pringle, agree to the change of inventorship to add Mr. Robert Harold Bateman who was inadvertently not previously listed. By signing below, I agree to the change of inventorship and have no disagreement in regard to the requested change.

Respectfully submitted,

*SP Pringle*

Steve Pringle

Date: 14/02/2005.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Giles et al.

Now U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

For: Mass Spectrometer

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)  
) Examiner: N. Wells  
)  
) Atty Docket: DEH058

**STATEMENT FROM CURRENT NAMED INVENTOR**

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

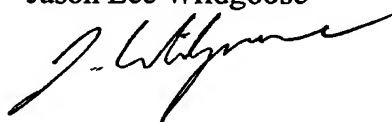
Sir:

As a co-inventor of the subject matter covered by the above-identified U.S. patent, I, Jason Lee Wildgoose, agree to the change of inventorship to add Mr. Robert Harold Bateman who was inadvertently not previously listed. By signing below, I agree to the change of inventorship and have no disagreement in regard to the requested change.

Respectfully submitted,

Date: 14/02/2005

Jason Lee Wildgoose



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Giles et al.

Now U.S. Patent No. 6,791,078

Serial Number 10/603,824

Filed June 26, 2003

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)  
) Art Unit: 3642  
)  
) Examiner: N. Wells  
)  
) Atty Docket: DEH058

For: Mass Spectrometer

**WRITTEN CONSENT OF ASSIGNEE UNDER 37 C.F.R. § 1.48(a)**


Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

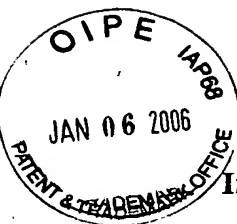
This document is intended to be filed with a request to correct the inventorship in the above-identified U.S. patent. That is, the application was originally filed naming four inventors and it was later determined that Robert Harold Bateman was inadvertently omitted from the application. An assignment from the original four named inventors to Micromass UK Limited was filed on September 22, 2003 in the U.S. Patent and Trademark Office. A separate assignment has also been executed by Mr. Robert Harold Bateman.

On behalf of the Assignee, Micromass UK Limited, I hereby give my consent to the requested inventorship correction. As Director of Micromass UK Limited, I have the authority to act on behalf of the Assignee in this matter.

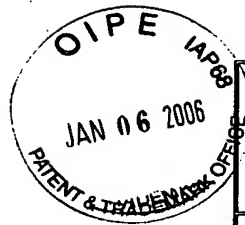
Respectfully submitted,

by:   
Robert Harold Bateman  
Technical Director

Date: 14/2/05



 **COPY**



FORM PTO-1595

U.S. DEPT OF COMMERCE  
PATENT & TRADEMARK OFFICE

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the **Honorable Commissioner of Patents and Trademarks**.  
Please record the attached original documents or copies thereof.

1. *Name of Conveying Party(ies):*

Robert Harold Bateman

2. *Name and Address of Receiving Party(ies):*

Name: Micromass UK Limited

Internal Address:

Street Address: Atlas Park, Simonsway

City, State, Zip: Manchester, M22 5PP  
United Kingdom

3. *Nature of Conveyance:*

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other:

☐ Additional name(s) and address(es) attached.

Execution Date: February 14, 2005

4. (A) *Patent Application Number(s):*

4. (B) *Patent Number(s):*

Patent No. 6,791,078, granted September 14, 2005

If this document is being filed  
together with a new application,  
the execution date of the application is:

5. *Name and Address of Party to Whom  
Correspondence Concerning this Document Should be  
Mailed:*

Name: Everett G. Diederiks, Jr.

Address: **DIEDERIKS & WHITELOW, PLC**  
12471 Dillingham Square, #301  
Woodbridge, Virginia 22192

6. *Total Number of Applications and  
Patents Involved:* 1

7. *Total Fee:*

(37 C.F.R. 3.41) **\$40.00**

- ☒ Enclosed  
☐ Authorized to be charged to Deposit Account

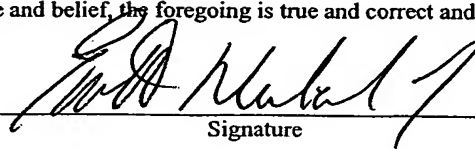
8. *Deposit Account Number:* 04-1075

**DO NOT USE THIS SPACE**

9. *Statement and Signature:*

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Everett G. Diederiks, Jr.



March 31, 2005

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 2

## ASSIGNMENT

WHEREAS, I (we), Robert Harold Bateman  
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, (is (are) the owner of certain new  
and useful improvements in Mass Spectrometer  
(hereinafter referred to as the INVENTION) for which United States Letters Patent No, 6,791,078 was granted on  
September 14, 2004.

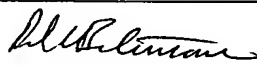
WHEREAS, Micromass UK Limited  
Whose post office address is Atlas Park, Simonsway, Manchester, M22 5PP United Kingdom  
Hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the  
United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we),  
ASSIGNOR, do sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said  
INVENTION and patent throughout the United States of America, including any and all United States Letters Patent  
granted on any division, continuation, continuation-in-part and reissue of said patent; and the entire right, title and  
interest in and to the said INVENTION throughout the world, including the right to apply for patents and inventor  
certificates in respect thereof and to claim priority pursuant to rights accorded ASSIGNOR under the terms of the Paris  
International Convention and all other available international conventions and treaties; and the entire right, title and  
interest in and to any and all patents, patents of addition, utility models, patents of importation, revalidation patents  
and inventor certificates which may be granted throughout the world in respect of said INVENTION.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with  
the maintenance of said patent or the filing, prosecution and maintaining of any other patent application(s) or inventor  
certificate(s) in the United States and in foreign countries for said INVENTION, including additional documents that  
may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration.  
ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to  
ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within  
ASSIGNOR'S possession or control and to provide further assurances and testimony on behalf of ASSIGNEE that  
lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent  
application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument  
shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue any and all United States  
Letters Patent(s) referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the  
same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and  
successors, to the full end of the term for which such Letters Patent(s) may be granted, as fully and entirely as the same  
would have been held by ASSIGNOR had this agreement and sale not been made.

ASSIGNOR authorizes any registered practitioner of DIEDERIKS & WHITELAW, PLC to insert or complete  
any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name <b>Robert Harold Bateman</b>	Address <b>Parkfield House, Parkfield Road Knutsford, Cheshire WA16 8NP United Kingdom</b>
Where Signed <b>MANCHESTER, UK</b>	
Date <b>14<sup>th</sup> FEBRUARY 2005</b>	Signature 
<p>_____ _____. ss.</p> <p>Before me personally appeared _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.</p> <p style="text-align: right;">_____ Notary Public</p> <p style="text-align: right;">My commission expires _____</p>	

☐ See following page(s) for additional joint assignees